ANNEX 14-A

SCHEDULE OF AUSTRALIA

Section A: Central Government Entities

Thresholds:

1. This Chapter shall apply to central government entities listed in this Section where the value of the procurement is estimated, in accordance with Article 14.2.8, to equal or exceed:

- (a) for procurement of goods and services: 130,000 SDRs; and
- (b) for procurement of construction services: 5,000,000 SDRs.

2. The monetary thresholds set out in paragraphs 1(a) and 1(b) shall be adjusted in accordance with Section H of this Schedule.

List of Entities:

- 1. Administrative Appeals Tribunal
- 2. Attorney-General's Department
- 3. Australian Aged Care Quality Agency
- 4. Australian Bureau of Statistics
- 5. Australian Centre for International Agricultural Research
- 6. Australian Crime Commission (Australian Criminal Intelligence Commission)
- 7. Australian Electoral Commission
- 8. Australian Federal Police
- 9. Australian Institute of Criminology
- 10. Australian Law Reform Commission
- 11. Australian National Audit Office
- 12. Australian Office of Financial Management (AOFM)
- 13. Australian Public Service Commission
- 14. Australian Radiation Protection and Nuclear Safety Agency (ARPANSA)
- 15. Australian Research Council
- 16. Australian Taxation Office
- 17. Australian Trade and Investment Commission (Austrade)
- 18. Australian Transaction Reports and Analysis Centre (AUSTRAC)
- 19. Australian Transport Safety Bureau
- 20. Bureau of Meteorology
- 21. Commonwealth Grants Commission

- 22. Department of Agriculture and Water Resources
- 23. Department of Communications and the Arts
- 24. Department of Defence
- 25. Department of Education and Training
- 26. Department of Employment
- 27. Department of Finance
- 28. Department of Foreign Affairs and Trade
- 29. Department of Health
- 30. Department of Human Services
- 31. Department of Immigration and Border Protection
- 32. Department of Industry, Innovation and Science
- 33. Department of Infrastructure and Regional Development
- 34. Department of Parliamentary Services
- 35. Department of Social Services
- 36. Department of the Environment and Energy
- 37. Department of the House of Representatives
- 38. Department of the Prime Minister and Cabinet
- 39. Department of the Senate
- 40. Department of the Treasury
- 41. Department of Veterans' Affairs
- 42. Fair Work Commission
- 43. Federal Court of Australia
- 44. Geoscience Australia
- 45. Inspector-General of Taxation
- 46. IP Australia
- 47. National Archives of Australia
- 48. National Blood Authority
- 49. National Capital Authority
- 50. National Competition Council
- 51. Office of Parliamentary Counsel
- 52. Office of the Australian Accounting Standards Board
- 53. Office of the Australian Information Commissioner
- 54. Office of the Commonwealth Ombudsman
- 55. Office of the Director of Public Prosecutions
- 56. Fair Work Ombudsman and Registered Organisations Commission Entity
- 57. Office of the Inspector-General of Intelligence and Security
- 58. Office of the Official Secretary to the Governor-General
- 59. Productivity Commission
- 60. Professional Services Review Scheme
- 61. Royal Australian Mint
- 62. Safe Work Australia
- 63. Seafarers Safety, Rehabilitation and Compensation Authority (Seacare Authority)
- 64. Workplace Gender Equality Agency

Notes to Section A:

1. This Chapter shall only apply to those entities listed (including an office within a listed entity) in this Section.

2. This Chapter shall not apply to the procurement of motor vehicles by any entity listed in this Section.

3. This Chapter shall not apply to procurement relating to the functions of the Australian Government Solicitor.

- 4. Department of Defence:
 - (a) this Chapter shall not apply to Department of Defence procurement of the following goods due to Article 28.2 (Security Exceptions):

Approximately equivalent to:

Weapons	FSC 10
Fire Control Equipment	FSC 12
Ammunition and Explosives	FSC 13
Guided Missiles	FSC 14
Aircraft and Airframe Structural Components	FSC 15
Aircraft Components and Accessories	FSC 16
Aircraft Launching, Landing, & Ground Handling Equipment	FSC 17
Space Vehicles	FSC 18
Ships, Small Craft, Pontoons and Floating Docks	FSC 19
Ship and Marine Equipment	FSC 20
Ground Effect Vehicles, Motor Vehicles, Trailers and Cycles	FSC 23
Engines, Turbines, and Components	FSC 28
Engines Accessories	FSC 29
Bearings	FSC 31
Water Purification and Sewage Treatment Equipment	FSC 46
Valves	FSC 48
Maintenance and Repair Shop Equipment	FSC 49
Prefabricated Structures and Scaffolding	FSC 54

Communication, Detection, and Coherent Radiation Equipment	FSC 58
Electrical and Electronic Equipment Components	FSC 59
Fiber Optics Materials, Components, Assemblies, and Accessories	FSC 60
Electric Wire, and Power and Distribution Equipment	FSC 61
Alarm, Signal and Security Detection Systems	FSC 63
Instruments and Laboratory Equipment	FSC 66
Specialty Metals	No Code

Note: Whether a good is included within the scope of this Note shall be determined solely according to the descriptions provided in the left column above. U.S. Federal Supply Codes are provided for reference purposes only. For a complete listing of the United States Federal Supply Codes, to which the Australian categories are approximately equivalent, see <u>https://www.fbo.gov/</u>.

- (b) for Australia, this Chapter shall not apply to the following services, as elaborated in the Common Classification System and the WTO system of classification MTN.GNS/W/120, due to Article 28.2 (Security Exceptions):¹
 - design, development, integration, test, evaluation, maintenance, repair, modification, rebuilding and installation of military systems and equipment (approximately equivalent to relevant parts of U.S. Product Service Codes A & J);
 - (ii) operation of Government-owned Facilities (approximately equivalent to U.S. Product Service Code M);
 - (iii) space services (AR, B4 & V3); and
 - (iv) services in support of military forces overseas.
- (c) this Chapter shall not apply to the procurement of goods and services by, or on behalf of, the Defence Intelligence Organisation, the Australian Signals Directorate, or the Australian Geospatial-Intelligence Organisation; and
- (d) in respect of Article 14.4 the Australian Government reserves the right, pursuant to Article 28.2 (Security Exceptions), to maintain the Australian industry capability programme and its successor programmes and policies.

¹ For a complete listing of Common Classification System, see <u>http://www.sice.oas.org/trade/nafta/chap-105.asp</u>

Section B: Sub-Central Government Entities

Thresholds:

1. This Chapter shall apply to sub-central government entities listed in this Section where the value of the procurement is estimated, in accordance with Article 14.2.8, to equal or exceed:

- (a) for procurement of goods and services, 355,000 SDR; and
- (b) for procurement of construction services, 5,000,000 SDR.

2. The monetary thresholds set out in paragraphs 1(a) and 1(b) shall be adjusted in accordance with Section H of this Schedule.

List of Entities:

This Chapter shall apply only to those entities specifically listed in this Section.

Australian Capital Territory

- 1. ACT Auditor-General
- 2. ACT Gambling and Racing Commission
- 3. ACT Insurance Authority
- 4. Chief Minister, Treasury and Economic Development Directorate
- 5. Community Services Directorate
- 6. Cultural Facilities Corporation
- 7. Education and Training Directorate
- 8. Environment and Planning Directorate
- 9. Health Directorate
- 10. Housing ACT
- 11. Independent Competition and Regulatory Commission
- 12. Justice and Community Safety Directorate
- 13. Legal Aid Commission
- 14. Ombudsman of the ACT
- 15. Transport Canberra and City Services (TCCS) Directorate

Note: For the entities listed for the Australian Capital Territory, this Chapter shall not apply to the procurement of health and welfare services, education services, utility services, or motor vehicles.

New South Wales

1. Advocate for Children and Young People

- 2. Department of Education
- 3. Department of Family and Community Services
- 4. Department of Finance, Services and Innovation
- 5. Department of Industry, Skills and Regional Development
- 6. Department of Justice
- 7. Department of Planning and Environment
- 8. Department of Premier and Cabinet
- 9. Environment Protection Authority
- 10. Fire and Rescue NSW
- 11. Health Care Complaints Commission
- 12. Information and Privacy Commission NSW (Note 3)
- 13. Legal Aid NSW
- 14. Ministry of Health
- 15. Multicultural NSW
- 16. New South Wales Crime Commission
- 17. NSW Crown Solicitor's Office
- 18. NSW Education Standards Authority
- 19. NSW Electoral Commission
- 20. NSW Food Authority
- 21. NSW Law Enforcement Conduct Commission
- 22. NSW Ombudsman
- 23. NSW Parliamentary Counsel's Office
- 24. NSW Rural Assistance Authority
- 25. NSW Rural Fire Service
- 26. NSW State Emergency Service
- 27. Office of the Director of Public Prosecutions NSW
- 28. Office of Environment and Heritage
- 29. Office of Local Government
- 30. Public Service Commission
- 31. State Insurance Regulatory Authority
- 32. Sydney Harbour Foreshore Authority
- 33. Sydney Olympic Park Authority
- 34. The Audit Office of NSW
- 35. The Treasury
- 36. Transport for NSW (Note 4)

Notes:

1. For the entities listed for New South Wales, this Chapter shall not apply to the procurement of health and welfare services, education services or motor vehicles.

2. For the entities listed for New South Wales, this Chapter shall not apply to procurements undertaken by a covered entity on behalf of a non-covered entity.

3. This Chapter shall not apply to procurement related to the functions of the Privacy Commission by the Information and Privacy Commission NSW.

4. This Chapter shall not apply to procurement by Transport for NSW, related to the functions of the Transport Construction Authority, and the Country Rail Infrastructure Authority or its successor agencies.

Northern Territory

- 1. Aboriginal Areas Protection Authority
- 2. Auditor General's Office
- 3. Department of the Attorney-General and Justice
- 4. Department of the Chief Minister
- 5. Department of Environment and Natural Resources
- 6. Department of Health
- 7. Department of Housing and Community Development
- 8. Department of the Legislative Assembly
- 9. Department of Primary Industry and Resources
- 10. Department of Tourism and Culture
- 11. Department of Trade, Business and Innovation
- 12. Department of Treasury and Finance
- 13. Health and Community Services Complaints Commission
- 14. Land Development Corporation
- 15. Museum and Art Gallery of the Northern Territory
- 16. Northern Territory Electoral Commission;
- 17. Northern Territory Police, Fire and Emergency Services
- 18. Northern Territory Training Commission
- 19. Office of the Commissioner for Public Employment
- 20. Ombudsman's Office
- 21. Racing Commission
- 22. Remuneration Tribunal
- 23. Strehlow Research Centre
- 24. Territory Families
- 25. Utilities Commission of the Northern Territory
- 26. Work Health Authority

Note: For the entities listed for the Northern Territory, this Chapter shall not apply to set-asides on behalf of the Charles Darwin University pursuant to Partnership Agreements between the Northern Territory Government and Charles Darwin University.

Queensland

1. Entities declared to be departments pursuant to section 14 of the *Public Service* Act 2008 (Qld)

- 2. Motor Accident Insurance Commission
- 3. Nominal Defendant
- 4. Public Service Commission

5. Public Trust Office

Notes:

- 1. For the entities listed for Queensland, this Chapter shall not apply to procurement:
 - (a) by covered entities on behalf of non-covered entities;
 - (b) undertaken by departments, or parts of departments, which deliver health, education, training or arts services; or
 - (c) of health services, education services, training services, arts services, welfare services, government advertising and motor vehicles.

2. For entities listed for Queensland, Article 14.14.3(f) shall not apply for a period of three years from the date of entry into force of this Agreement, so as to allow time for the entities listed for Queensland to make necessary modifications to electronic means to enable the publication of such information.

South Australia

- 1. Attorney-General's Department
- 2. Auditor-General's Department
- 3. Country Fire Service
- 4. Courts Administration Authority
- 5. Defence SA
- 6. Department for Child Protection
- 7. Department for Communities and Social Inclusion
- 8. Department for Correctional Services
- 9. Department for Education and Child Development
- 10. Department for Health and Ageing
- 11. Department of Environment, Water and Natural Resources
- 12. Department of Planning, Transport and Infrastructure
- 13. Department of Primary Industries and Regions
- 14. Department of State Development
- 15. Department of the Premier and Cabinet
- 16. Department of Treasury and Finance
- 17. Electoral Commission of South Australia
- 18. Environment Protection Authority
- 19. Independent Gambling Authority
- 20. Parliament of South Australia
- 21. SAFECOM
- 22. SA Tourism Commission
- 23. South Australian Metropolitan Fire Service
- 24. South Australia Police
- 25. State Emergency Service

- 26. State Procurement Board
- 27. TAFE SA

Note: For the entities listed for South Australia, this Chapter shall not apply to the procurement of health and welfare services, education services, advertising services or motor vehicles.

Tasmania

- 1. Department of Education
- 2. Department of Health and Human Services
- 3. Department of Justice
- 4. Department of Police and Emergency Management
- 5. Department of Premier and Cabinet
- 6. Department of Primary Industries, Parks, Water and Environment
- 7. Department of State Growth
- 8. Department of Treasury and Finance
- 9. House of Assembly
- 10. Legislative Council
- 11. Legislature-General
- 12. Office of the Director of Public Prosecutions
- 13. Office of the Governor
- 14. Office of the Ombudsman
- 15. Tasmanian Audit Office
- 16. Tasmanian Health Service
- 17. Tourism Tasmania

Notes:

1. For the entities listed for Tasmania, this Chapter shall not apply to the procurement of health and welfare services, education services, or advertising services.

2. For the entities listed for Tasmania, Articles 14.6.1 and 14.6.3(h) and Article 14.8 shall not apply with respect to Multi-Use Lists.

Victoria

- 1. Department of Economic Development, Jobs, Transport and Resources
- 2. Department of Education and Training
- 3. Department of Environment, Land, Water and Planning
- 4. Department of Health and Human Services
- 5. Department of Justice and Regulation
- 6. Department of Premier and Cabinet
- 7. Department of Treasury and Finance
- 8. Essential Services Commission
- 9. Independent Broad-Based Anti-corruption Commission

- 10. Office of Infrastructure Victoria
- 11. Office of Public Prosecutions
- 12. Office of the Chief Commissioner of Police (Victoria Police)
- 13. Office of the Commission for Children and Young People
- 14. Office of the Commissioner for Environmental Sustainability
- 15. Office of the Commissioner for Privacy and Data Protection
- 16. Office of the Freedom of Information Commissioner
- 17. Office of the Game Management Authority
- 18. Office of the Ombudsman
- 19. Office of the Road Safety Camera Commissioner
- 20. Office of the Victorian Fisheries Authority
- 21. Office of the Victorian Legal Services Commissioner
- 22. Office of the Victorian Responsible Gambling Foundation
- 23. Taxi Services Commission
- 24. Victorian Auditor-General's Office
- 25. Victorian Commission for Gambling and Liquor Regulation
- 26. Victorian Electoral Commission
- 27. Victorian Equal Opportunity and Human Rights Commission
- 28. Victorian Public Sector Commission
- 29. Victorian Inspectorate

Notes:

1. For the entities listed for Victoria, this Chapter shall not apply to the procurement of motor vehicles.

2. For the entities listed for Victoria, this Chapter shall not apply to procurement by covered entities on behalf of non-covered entities.

3. For the entities listed for Victoria, this Chapter shall not apply to procurement conducted by the office of Head, Transport for Victoria.

Western Australia

- 1. Corruption and Crime Commission (Western Australia)
- 2. Country High Schools Hostels Authority
- 3. Department of Biodiversity, Conservation and Attractions
- 4. Department of Communities
- 5. Department of Education
- 6. Department of Finance
- 7. Department of Fire and Emergency Services
- 8. Department of Health
- 9. Department of Jobs, Tourism, Science and Innovation
- 10. Department of Justice
- 11. Department of Local Government, Sports and Cultural Industries
- 12. Department of Mines, Industry Regulation and Safety

- 13. Department of Planning, Lands and Heritage
- 14. Department of Primary Industries and Regional Development
- 15. Department of the Premier and Cabinet
- 16. Department of the Registrar Western Australian Industrial Relations Commission
- 17. Department of Training and Workforce Development
- 18. Department of Transport
- 19. Department of Treasury
- 20. Department of Water and Environmental Regulation
- 21. Equal Opportunity Commission
- 22. Governor's Establishment
- 23. Heritage Council of Western Australia
- 24. Law Reform Commission of Western Australia
- 25. Legislative Assembly
- 26. Legislative Council
- 27. Main Roads Western Australia
- 28. Minerals Research Institute of Western Australia
- 29. National Trust of Australia (WA)
- 30. Office of the Auditor General
- 31. Office of the Director of Public Prosecutions
- 32. Office of the Information Commissioner
- 33. Office of the Inspector of Custodial Services
- 34. Office of the Parliamentary Commissioner for Administrative Investigations
- 35. Parliamentary Services Department
- 36. Public Sector Commission
- 37. Public Transport Authority
- 38. Rural Business Development Corporation
- 39. Salaries and Allowances Tribunal
- 40. Small Business Development Corporation
- 41. State Library of Western Australia
- 42. Swan River Trust
- 43. Western Australia Police
- 44. Western Australian Electoral Commission
- 45. Western Australian Land Information Authority (Landgate)
- 46. Western Australian Planning Commission
- 47. Western Australian Sports Centre Trust (trading as VenuesWest)

Note: For greater certainty, consistent with Article 14.8.9, in relation to procurements from the Department of Finance, Building Management and Works' Prequalification Scheme; and Main Roads Western Australia's National Prequalification System for Civil (Road and Bridge) Construction Contracts Scheme, requests for participation in a procurement from suppliers not already prequalified at the time of tender release will not be considered due to the time and complexity involved in assessing requests. This does not preclude suppliers from applying at any time to become prequalified. Prequalification ensures the financial security of building and construction contractors and does not discriminate between local suppliers and the suppliers of the other Party.

Note to Section B

- 1. For entities listed in this Section, in relation to multi-use lists:
 - (a) a notice inviting suppliers to apply for inclusion on a multi-use list may be used as a notice of intended procurement, provided a statement is included that only suppliers on the multi-use list will receive further notices of procurement covered by the multi-use list; and
 - (b) where all or a limited number of qualified suppliers have been selected, the time period for tendering may be fixed by mutual agreement between the procuring entity and the selected suppliers. In the absence of agreement, the period shall not be less than 10 days.

Section C: Other Entities

Thresholds:

1. This Chapter shall apply to other covered entities listed in this Section where the value of the procurement is estimated, in accordance with Article 14.2.8, to equal or exceed:

- (a) for procurement of goods and services, 400,000 SDR
- (b) for procurement of construction services, 5,000,000 SDR

2. The monetary thresholds set out in paragraphs 1(a) and 1(b) shall be adjusted in accordance with Section H of this Schedule.

List of Entities:

- 1. Australian Communications and Media Authority
- 2. Australian Competition and Consumer Commission
- 3. Australian Financial Security Authority
- 4. Australian Fisheries Management Authority
- 5. Australian Human Rights Commission
- 6. Australian Institute of Health and Welfare
- 7. Australian Institute of Marine Science
- 8. Australian Maritime Safety Authority
- 9. Australian National Maritime Museum
- 10. Australian Nuclear Science and Technology Organisation
- 11. Australian Pesticides and Veterinary Medicines Authority
- 12. Australian Prudential Regulation Authority

- 13. Australian Securities and Investments Commission
- 14. Australian War Memorial (Note 3)
- 15. Comcare
- 16. Commonwealth Scientific and Industrial Research Organisation
- 17. Export Finance and Insurance Corporation
- 18. Grains Research and Development Corporation
- 19. Great Barrier Reef Marine Park Authority
- 20. National Gallery of Australia
- 21. National Museum of Australia
- 22. Old Parliament House
- 23. Reserve Bank of Australia
- 24. Sydney Harbour Federation Trust
- 25. The Director of National Parks
- 26. Tourism Australia

Notes to Section C

1. This Chapter shall apply to only those entities listed in this Section.

2. For the entities listed in this Section, this Chapter shall not apply to the procurement of motor vehicles.

3. This Chapter shall not apply to procurement of telecommunications services by the Australian War Memorial.

Section D: Goods

1. This Chapter shall apply to all goods procured by the entities listed in Sections A, B and C, unless otherwise specified, including in this Schedule.

2. This Chapter shall not apply to the procurement of blood and blood-related products, including plasma derived products.

Section E: Services

1. This Chapter shall apply to all services procured by the entities listed in Sections A, B and C, unless otherwise specified, including in this Schedule.

- 2. This Chapter shall not apply to the procurement of:
 - (a) plasma fractionation services;

- (b) government advertising services;
- (c) health and welfare services; and
- (d) research and development services.

Section F: Construction Services

This Chapter shall apply to all construction services procured by the entities listed in Sections A, B and C, unless otherwise specified, including in this Schedule.

Section G: General Notes

Unless otherwise specified, the following General Notes in Australia's Schedule shall apply to this Chapter, including to all Sections of this Schedule.

- 1. This Chapter shall not apply to:
 - (a) any form of preference to benefit small and medium enterprises;
 - (b) measures to protect national treasures of artistic, historic, or archaeological value;
 - (c) measures for the health and welfare of indigenous people; and
 - (d) measures for the economic and social advancement of indigenous people.
- 2. For greater certainty:
 - (a) this Chapter shall not apply to procurement funded by grants and sponsorship payments received from persons not listed in this Annex;
 - (b) this Chapter shall not apply to procurement by a procuring entity from another government entity; and
 - (c) this Chapter shall not apply to procurement of asset management and financial advisory services pertaining to reserves held by a government or its entities.

Section H: Threshold Adjustment Formula

1. The thresholds shall be adjusted in every even-numbered year with each adjustment taking effect on 1 January, beginning on 1 January of the first even numbered year after the date of entry into force of this Agreement.

2. Every two years, Australia shall calculate and publish the value of the thresholds under this Chapter expressed in Australian dollars. These calculations shall be based on the conversion rates published by the International Monetary Fund in its monthly *International Financial Statistics*.

3. The conversion rates shall be the average of the daily values of the Australian dollar in terms of the Special Drawing Rights (SDR) over the two-year period preceding October 1 or November 1 of the year before the adjusted thresholds are to take effect, and rounded to the nearest thousand Australian dollars.

4. Australia shall notify Peru of the current thresholds in Australian dollars immediately after this Agreement enters into force, and the adjusted thresholds in Australian dollars thereafter in a timely manner.

5. Australia shall consult Peru if a major change in Australian dollars relative to the SDR or to the national currency of Peru were to create a significant problem with regard to the application of this Chapter.

SECTION I: Procurement Information

All information on government procurement is published on the following websites:

Legislation and Regulations: http://www.comlaw.gov.au/

Australian Government Tender System: https://www.tenders.gov.au/